

Statement of SURS Annuity Status

A **Statement of SURS Annuity Status** must be completed by a SURS retiree (“annuitant”) prior to each appointment and again annually (September 1 to August 31) while remaining employed by one or more of the [SURS participating employers](#).

The Illinois General Assembly enacted a “Return to Work” law, [40 ILCS 5/15-139.5](#), effective August 1, 2013, requiring state universities to ascertain the retirement status of current and prospective employees as related to coverage by the State Universities Retirement System (SURS). The University of Illinois is requesting the following information to comply with this law.

The information collected will determine whether the annual earnings of the re-employed annuitant must be tracked and limited to a threshold. The threshold is 40% of the annuitant’s highest annual **rate of** earnings (“HAE”) prior to retirement. The HAE is provided to a retiree by SURS and is different from the annuitant’s personal earnings limitation(s).

Glossary of terms used in this Statement:

- **“40% of HAE Threshold”** – *annual absolute limit of all combined, tracked earnings, when annuitant holds one or more appointment(s) with SURS participating employer(s) and is subject to the threshold; exceeding that limit may cause an annuitant to become “affected”.*
- **“Annuitant”** – *an individual who was employed by and retired from a SURS participating/ participating employer; also referred to as “SURS retiree”; used here, this may include retirees who are not receiving an annuity.*
- **“Affected Annuitant”** – *an annuitant who has earned in a SURS reporting period more than 40% of their “HAE” threshold working at one or more SURS participating employer(s) if their employment is subject to that threshold; may prevent the SURS participating employer from being able to hire or retain the annuitant as an employee.*
- **“HAE”** – *an annuitant’s highest annual rate of earnings prior to retirement, calculated by SURS and provided to a retiree by SURS; when applicable, this limits the employer to a 40% of HAE threshold on wages paid to the annuitant during the SURS reporting period to avoid severe penalties.*
- **“Personal Earnings Limitation(s)”** (question #5) – *any limit(s) assigned by SURS according to their policies as the responsibility of the annuitant to monitor; does not impact the employer.*
- **“Places of Employment”** (question #7) – *list of all current or anticipated appointments working for SURS participating employers during the current Statement’s SURS reporting period, including the one(s) at the University of Illinois.*
- **“SURS participating Employer(s)”** – *any of the colleges or universities listed as a “participating employer” by SURS on their website: <https://surs.org/employers/participating-employers/>; also listed in the drop-down menu on the Statement of SURS Annuity Status document below.*
- **“SURS Reporting Period”** – *September 1 of one year through August 31 of the next year.*

Statement of SURS Annuity Status

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Statement for SURS reporting period September 1, _____ through August 31, _____

I, _____ (Name of Retiree) , retired from a **SURS participating employer**.

1. Yes. I retired from one of the following [SURS -participating employers](#):

No, I did not retire from a SURS participating employer. Please go to question 9.

2. I am a former University of Illinois employee.

Yes, my University ID Number (UIN) is:

No

3. As a retiree from a SURS employer, I have received/am receiving my retirement in the following form:

- a. Monthly Annuity Payments (I am a SURS Annuitant and do not plan to forego my annuity.)
- b. Lump Sum Payment*
- c. Retirement Savings Plan (formerly known as Self-Managed Plan) and am NOT receiving a monthly annuity payment from SURS.**

*If answered b, I acknowledge that in accordance with applicable rules, if I participated in the Portable Plan, received a lump sum payment, and my re-employment is to a SURS-eligible position, I will have an option to be recertified in SURS, and if elected contributions will be withheld from any wages. (Please go directly to question 9.)

** If answered c, I acknowledge that in accordance with applicable rules, I will not be recertified in SURS, and contributions will not be withheld from any wages. This “Statement of SURS Annuity Status” form is required at initial rehire at the respective university or upon request. (Please go directly to question 9.)

4. My highest annual rate of earnings (HAE) earned prior to retirement, as provided by SURS is:

(This number must come from SURS; it cannot be estimated or derived from the highest salary year. Please request this number from SURS if it has not already been provided to you by SURS.)

5. My current SURS annual gross annuity amount is:
(Annual sum of payments from SURS to you)

6. I understand I am responsible to monitor my personal earnings limitation(s), annual or monthly, as determined by SURS, and that this is not the same as the 40% of highest annual rate of earnings (HAE) limit as used for the

“Return to Work” legislation. I understand that exceeding my SURS personal earnings limitation(s) may result in a loss in, or reduction of, annuity payments. The University is not responsible for any reduction in annuity caused by exceeding my personal earnings limitation(s).

7. The SURS Academic Year begins September 1 each year. Since becoming a SURS annuitant or University retiree, list all places of employment or anticipated employment at SURS participating employers that fall within the SURS academic year (September 1 to August 31) , including the University of Illinois proposed appointment. Because the criteria to become an affected annuitant in the "Return to Work" legislation is based on your combined employment at all SURS participating employers, it is important that we have this information. Please add an additional sheet if necessary.

SURS Participating Employer(s)	Dates Worked/Appointed (start – end)	Gross Pay for that Job/Position	Position Vacation-Eligible?
			Yes No
			Yes No
			Yes No
			Yes No

8. I am an [Affected Annuitant](#) under Illinois law [40 ILCS 5/15-139.5](#). Yes No
Please review the linked text to determine if you are affected. Not all SURS annuitants will be affected.
9. I am competent and an adult age 18 or over. Yes No

I certify that to the best of my knowledge the information provided to the University of Illinois in this form is true and complete as of this date. I understand that false answers, statements, or omissions of any information requested here shall be sufficient grounds for disqualification from employment or immediate termination of employment.

I give the University of Illinois permission to investigate my SURS annuitant status, including earnings and employment status at other SURS *participating* employers. **If I accept additional employment with a SURS participating employer, I will notify the University of Illinois through another form within 10 days of accepting the new employment.**

Completing this form does not obligate the University of Illinois, the State Universities Civil Service System, or any institution or agency served by it, nor does it indicate that there are positions open.

Since the State Universities Retirement System (SURS) requires the University to use SSN when communicating with the system regarding the employment of SURS annuitants, you will be asked to provide your SSN if it is not already on file with the University of Illinois. If you feel your SSN has been misused, please refer the matter to the SSN policy coordinators at ssn@uillinois.edu as well as SURS.

Employee Name (Print)

Date

Employee Signature

Please provide the completed form to your employing unit and retain a copy for your personal records.